



The **Association for Women in Finance** presents:

“The Business of Risk Control”

‘Perspectives from Women on Delivering Sustainable Risk Management’

with

Yukako Caffrey (Barclays), **Chigusa Hara** (Morgan Stanley MUFG), **Mayako Kawai** (BofAML), **Wakae Kihara** (BBH), **Melissa Otto** (Fidelity)

Monday, September 11th 2017, 7:00 pm - 9:00 pm

This AWF event for financial services professionals features an informative panel where attendees have the opportunity to engage with senior women in the industry to discuss the latest regulatory developments and industry trends. The panel will be moderated by Yukako Caffrey, Deputy COO Barclays Securities Japan, and together with the panel she will explore themes such as creating a risk awareness culture, how firms are approaching the control functions across the three lines of defense and how to find an effective balance between cost of compliance, ensuring profitability and maintaining an effective control environment.



Yukako Caffrey is the Deputy Chief Operating Officer for Barclays Securities Japan Limited, based in Tokyo. Since joining Barclays Japan in 2010, Yukako has led numerous teams in Operations across Tokyo and Singapore. In August 2016, Yukako joined the Japan Country Management team. Prior to joining Barclays, Yukako began her experienced career at Lehman Brothers, before moving on to Michael Page International. Her collective history has taken her to offices in Tokyo, New York, Hong Kong and Singapore.



Chigusa Hara is a Managing Director of Morgan Stanley, Japan Audit Director and Asia Head of Internal Audit. She joined the Firm in 1996 as an Analyst in Internal Audit in Tokyo, and served in a number of roles, including most recently Global Head of Operations Audit based in New York. Chigusa earned a Master's degree in International Development Economics, graduating from Yale Graduate School as a Rotary International Ambassadorial Scholar. Chigusa is also a Certified Public Accountant, Certified Internal Auditor, Certified Information Systems Auditor and Certified Anti-Money Laundering Specialist.



Mayako is a senior compliance officer for Merrill Lynch Japan Securities Co., Ltd. and Bank of America N.A. Tokyo Branch, primarily covers Capital Markets and Investment Banking areas as well as covering general compliance matters. Prior to joining compliance in 2005, she was in debt derivatives trade support and operations team. Mayako has been co-chair of LEAD (Leadership, Education, Advocacy and Development) for Women, a global employee network, at Bank of America Merrill Lynch in Japan since 2015. She has national certification of career consultant.



Wakae Kihara is currently the Asia Counsel to Brown Brothers Harriman. She is a member of the Tokyo Bar Association and Investment Management Committee of ACCJ. She began her legal practice in 2000 as a finance transaction lawyer and advised global financial institutions in Tokyo and New York on cross-border matters. Prior to joining BBH, Wakae was on the business side working at asset management firms in Germany and then NY.



Melissa Otto, CFA is Director of Research for Fidelity International. Based in Tokyo, she is responsible for Fidelity International's Japan equity research and manages a team of 18 analysts and research associates. Melissa has been conducting research in Japan since 1995 and has been an active equities investor in Pan-Asia since 2000. Prior to joining Fidelity International in December 2016, Melissa was Director, Active Equities at TIAA based in New York. Melissa has worked in the UK, US, Peru and Japan.

Doors open at 7:00 pm. Speaker starts at 7:30 pm.
Media policy: please note that this event will be off the record.

Register online by Thursday, September 7th, 2017:

<https://awftokyo.wufoo.eu/forms/wjbne2w04th87x/>

Cost inclusive of buffet, wine and soft drinks:

Members and employees of corporate member firms: **¥2,000**

Student Members: **¥1,000** (with I.D.) / Guests: **¥4,000**

Please send any cancellations before the signup deadline so that we are able to adjust the catering costs. Your cooperation is greatly appreciated.

This event will be held at the office of **Morgan Stanley MUFG
Otemachi Financial City South Tower, Otemachi 1-9-7, Tokyo 100-0004**

<http://www.morganstanley.co.jp/ja/office-location>